a) DIDIVIDUAL

NONPROFIT ORGANIZATION

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 C.F R 19(e) AND 1.27(d)) - NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below NAME OF ORGANIZATION: The Regents of the University of California ADDRESS OF 300 Lakeside Drive ORGANIZATION: 22nd Floor Oakland, California 94612-3550 TYPE OF ORGANIZATION. a) 🔯 UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C 501(a) AND 501(c)(3)) b) 🗌 c) 🗌 NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA --(NAME OF STATE ____ (CITATION OF STATUTE] d) 🔲 WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S C 501(a) AND 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA e) 🗍 WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA --(NAME OF STATE (CITATION OF STATUTE I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. 1.9(e) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in regard to the invention, entitled ANIMAL MODELS OF HUMAN PROSTATE CANCER PROGRESSION by inventor(s) Charles Sawyers, Karen Klein, Owen Witte and Robert Reiter described in a) [] the specification filed herewith. b) 🗌 provisional application serial no. _____, filed _____ c) 🔯 non-provisional application serial no. 08/951,143, filed October 15, 1997 d) 🗌 patent no. _____, issued _ I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 C.F.R. 1.9(c) or by any concern which would not qualify as a small business concern under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e) *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 C F R. 1.27) UroGenesys NAME 1701 Colorado Avenue, Santa Monica, California 90404 ADDRESS A) | INDIVIDUAL b) X SMALL BUSINESS CONCERN c) NONPROFIT ORGANIZATION NAME ADDRESS

l acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. 1.28(b))

c) NONPROFIT ORGANIZATION

b) SMALL BUSINESS CONCERN

CIMILITY OF MALE AP

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereof, or any patent to which this verified statement is directed.

NAME:

Emily Waldron

TITLE:

Technology Transfer Officer

ADDRESS:

UCLA Sponsored Research 1400 Ueberroth Building

405 Hilgard Avenue

Los Angeles, California 90024

SIGNATURE:

DATE:

I hereby declare that I am

SMALL BUSINESS

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 C.F.R. 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN

	a) 🔯 b) 🔲	the founder of the small business concern identified below: an official of the small business concern empowered to act on behalf of the concern identified below:
		NAME OF CONCERN: UroGenesys ADDRESS OF CONCERN: 1701 Colorado Avenue Santa Monica, California 90404
13 C.F.I 35, Unit For pury concern concern	R. 121,80 ed States coses of to of the pe s are affil	that the above identified small business concern qualifies as a small business concern as defined in 01-805, and reproduced in 37 C.F.R. 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons this statement. (1) the number of employees of the business concern is the average over the previous fiscal year of the ersons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) liates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a ties controls or has the power to control both.
with reg C <u>pa</u> rles	ard to the	that rights under contract or law have been conveyed to and remain with the small business concern identified above e invention, entitled ANIMAL MODELS OF HUMAN PROSTATE CANCER PROGRESSION by inventor(s), Karen Klein, Owen Witte and Robert Reiter described in
The first said that the non	a)	the specification filed herewith. provisional application serial no, filed non-provisional application serial no. 08/951,143, filed October 15, 1997 patent no, issued
rights to qualify a unider 3 care nau NAME	the invents as an index 7 C.F.R. med person	by the above-identified small business concern are not exclusive, each individual, concern or organization having notion is listed below* and no rights to the invention are held by any person, other than the inventor, who could not ependent inventor under 37 C.F.R. 1.9(c) or by any concern which would not qualify as a small business concern 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e). *NOTE: Separate verified statements are required from on, concern or organization having rights to the invention averring to their status as small entities. (37 C.F.R. 1.27) The Regents of the University of California
ADDRE:	22 LOVA U (*	300 Lakeside Drive, 22nd Floor, Oakland, California 94612-3550
NAME		7,2 ************************************
ADDRE:	SS NDI D NDI	NDUAL b) □ SMALL BUSINESS CONCERN c) □ NONPROPIT ORGANIZATION
contity st	atus prio	ne duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small r to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which entity is no longer appropriate. (37 C.F.R. 1.28(b))
are belic made ar false sta	e punish	that all statements made herein of my own knowledge are true and that all statements made on information and belief e true; and further that these statements were made with the knowledge that willful false statements and the like so able by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code, and that such willful may jedpardize the validity of the application, any patent issuing thereof, or any patent to which this verified cted.
NAME:		Donald B. Rice, Ph. D.
TITLE:		President and CEO
ADDRE	ESS:	1701 Colorado Avenue, Santa Monica California 90404
SIGNA.	TURE :	Should B Rice. Date: 6/8/98

5263950694

U.S. PROVISIONAL APPLICATION NUMBER

08/732,676

MANDEL & ADRIANO

United States Patent Application

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: ANIMAL MODELS OF HUMAN PROSTRATE CANCER PROGRESSION

. is attached hereto			
	r 15, 1997 as application serial no. 08 d and claimed in international no nited States patent.	/951,143 and was amended on filed and as amended on	(if applicable) (in the case of a PCT (if any), which I have reviewed
hereby state that I have re ry amendment referred to		of the above-identified specification	, including the claims, as amended by
acknowledge the duty to cederal Regulations, § 1.56		to the patentability of this applicat	ion in accordance with Title 37, Code
ernificate listed below and pat of the application on the properties of the applications applications has	I have also identified below any foreign the basis of which priority is claimed: s have been filed.		n application(s) for patent or inventor's sertificate having a filing date before
And Andrews	FOREIGN APPLICATION(S), IF ANY	, CLAIMING PRIORITY UNDER 35 US	SC § 119
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)
E AI	LL FOREIGN APPLICATION(S). IF ANY.	FILED BEFORE THE PRIORITY APP	LICATION(S)
	APPLICATION (S), IF ANY, APPLICATION NUMBER	DATE OF FILING (day, mouth, year)	DATE OF ISSUE (day, month, year)
hereby claim the benefit to elow and, insofar as the stranger provided by the firm	under Title 35, United States Code, § subject matter of each of the claims of rst paragraph of Title 35, United State of Federal Regulations, § 1.56(a) which	DATE OF FILING (day, month, year) 120/365 of any United States and F this application is not disclosed in the State of th	DATE OF ISSUE (day, month, year)

DATE OF FILING (Day, Month, Year)

October 15, 1996



I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Sarah B. Adriano

Reg. No. 34,470

SaraLynn Mandel

Reg. No. 31,853

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Mandel & Adriano to the contrary.

Please direct all correspondence in this case to Mandel & Adriano at the address indicated below:

Mandel & Adriano 35 No. Arroyo Parkway, Suite 60 Pasadena, California 91103

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

2	Full Name	Family Name	First Given Name	Second Given Name
	Of Inventor	Sawyers	Charles	L.
0	Residence	City	State or Foreign Country	Country of Citizenship
1	& Citizenship	Los Angeles	CA	USA
1	Post Office	Post Office Address	City	State & Zip Code/Country
	Address	177 \$. Westgate Avenue	Los Angeles	CA 90049/USA
Sign	Hure of Laventor 2	01: Charles I fangus		Date: 3/01/00
2	Full Name	Family Name	First Given Name	Second Given Name
9	Of Inventor	Klein	Karen	A.
)	Residence	City	State or Foreign Country	Country of Citizenship
	& Citizenship	Los Angeles	CA	USA
2	Post Office	Post Office Address	City	State & Zip Code/Country
	Address	174 Denslow Avenue	Los Angeles	CA 90049/USA
•	sture of Inventor 2	02:		Date:
2	Full Name	Family Name	First Given Name	Second Given Name
	1 s. sees tale rese	Family 14sture	Little Glass Laubs	Second Given Link
	Of Inventor	Wine .	Owen Owen Name	N.
)		•		
D	Of Inventor	Wine .	Owen	N.
	Of Inventor Residence	Witte - City	Owen State or Foreign Country	N. Country of Citizenship
	Of Inventor Residence & Citizenship	Witte City Sherman Oaks	Owen State or Foreign Country CA	N. Country of Citizenship USA
3	Of Inventor Residence & Citizenship Post Office	Witte City Sherman Oaks Post Office Address 14727 Sutton Drive	Owen State or Foreign Country CA City Sherman Oaks	N. Country of Citizenship USA State & Zip Code/Country
3	Of Inventor Residence & Citizenship Post Office Address	Witte City Sherman Oaks Post Office Address 14727 Sutton Drive	Owen State or Foreign Country CA City Sherman Oaks	N. Country of Citizenship USA State & Zip Code/Country CA 91403/USA
Sign	Of Inventor Residence & Citizenship Post Office Address	Witte City Sherman Oaks Post Office Address 14727 Sutton Drive 203:	Owen State or Foreign Country CA City Sherman Oaks	N. Country of Citizenship USA State & Zip Code/Country CA 91403/USA Date:
Sign	Of Inventor Residence & Citizenship Post Office Address ature of Inventor	Witte City Sherman Oaks Post Office Address 14727 Sutton Drive	Owen State or Foreign Country CA City Sherman Oaks	N. Country of Citizenship USA State & Zip Code/Country CA 91403/USA Date:
Sign	Of Inventor Residence & Citizenship Post Office Address ature of Inventor : Full Name	Witte City Sherman Oaks Post Office Address 14727 Sutton Drive 103: Family Name	Owen State or Foreign Country CA City Sherman Oaks First Given Name	N. Country of Citizenship USA State & Zip Code/Country CA 91403/USA Date: Second Given Name
Sign	Of Inventor Residence & Citizenship Post Office Address ature of Inventor Full Name Of Inventor	Witte City Sherman Oaks Post Office Address 14727 Sutton Drive 03: Family Name Reiter	Owen State or Foreign Country CA City Sherman Oaks First Given Name Robert	N. Country of Citizenship USA State & Zip Code/Country CA 91403/USA Date: Second Given Name E.
3 Sign 2	Of Inventor Residence & Citizenship Post Office Address ature of Inventor Full Name Of Inventor Residence	City Sherman Oaks Post Office Address 14727 Sutton Drive 303: Faquity Name Reiter City	Owen State or Foreign Country CA City Sherman Oaks First Given Name Robert State or Foreign Country	N. Country of Citizenship USA State & Zip Code/Country CA 91403/USA Date: Second Given Name E. Country of Citizenship
Sign	Of Inventor Residence & Citizenship Post Office Address ature of Inventor Full Name Of Inventor Residence & Citizenship	Witte City Sherman Oaks Post Office Address 14727 Sutton Drive 203: Family Name Reiter City Los Angeles	Owen State or Foreign Country CA City Sherman Oaks First Given Name Robert State or Foreign Country CA	N. Country of Citizenship USA State & Zip Code/Country CA 91403/USA Date: Second Given Name E. Country of Citizenship USA

§ 1.56 Duty to disclose information material to patentability.

6263950694

- A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filling and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by \$§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- being made of record in the application, and

 (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim;
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the prependerance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application:

4 .. :

- (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

MANDEL & ADRIANO

United States Patent Application

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name: that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: ANIMAL MODELS OF HUMAN PROSTRATE CANCER PROGRESSION

The state of the s	EKT NO GIWOOTOT				
Hed application) described and and for which I solicit a United thereby state that I have reviewany amendment referred to above I acknowledge the duty to discless Federal Regulations. § 1.56 (attitude) the control of	States patent. red and understand the contents of the contents and the contents under Title 35, United State also identified below any foreigns is of which priority is claimed: the been filed.	filed and as ame f the above-identified specifies to the patentability of this ates Code, § 119/365 of a	ended on cification, in sapplication ny foreign a	in accordance with Title	e reviewed nended by 37. Code of
15 F	OREIGN APPLICATION(S), IF ANY,	CLAIMING DRIODITY IN	DED 15 USC 1	2.110	
(ŌUNTRY	APPLICATION NUMBER	DATE OF FILING	DEN 33 USC	DATE OF ISSUE	
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					,
ALL FO	REIGN APPLICATION(S), IF ANY,	FILED BEFORE THE PRIC	RITY APPLIC	CATION(S)	
(DI NTRY	APPLICATION NUMBER	DATE OF FILING		DATE OF ISSUE	
		(day, month, year)		(day, month, year)	
below and, insofar as the subject manner provided by the first pa	r Title 35, United States Code, § ct matter of each of the claims of ragraph of Title 35, United States deral Regulations, § 1.56(a) which of this application.	this application is not dis Code, § 112, I acknowle	closed in the duty	prior United States appli to disclose material info	cation in the rmation as
U.S. APPLICATION NUM	BER DATE OF FILIN	G (day, month, year)	STATU	.r IS (patented, pending, abando	ned)
I hereby claim the benefit unde	r Title 35. United States Code § 1	19(e) of any United Stat	es provisiona	al application(s) listed bel	0//
IIS PROVISIONA	I. APPLICATION NUMBER	D	TE OF FILIN	(G (Day, Month, Year)	

October 15, 1996

U.S. PROVISIONAL APPLICATION NUMBER

08/732,676

I hereby appoint the following attorney(s) a. or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Sarah B. Adriano

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SaraLynn Mandel

Reg. No. 31,853

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Mandel & Adriano to the contrary.

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Mandel & Adriano 35 No. Arroyo Parkway, Suite 60 Pasadena, California 91103

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	Full Name Of Inventor	Family Name Sawyers	First Given Name Charles		Second Given Name L
	Residence & Citizenship	City Los Angeles	State or Foreign Country CA		Country of Citizenship USA
	Post Office Address	Post Office Address 177 S. Westgate Avenue	City Los Angeles		State & Zip Code/Country CA 90049/USA
Sign	ature of Inventor 2	01:		Date:	
	Full Name Of Inventor	Family Name . Klein	First Given Name Karen		Second Given Name A.
0 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Residence & Citizenship	City Los Angeles	State or Foreign Country CA		Country of Citizenship USA
2 11	Post Office Address	Post Office Address 174 Denslow Avenue	City Los Angeles		State & Zip Code/Country CA 90049/USA
	ature of Inventor 2	02: Kama Blud, PhD		Date:	6100
2	Full Name Of Inventor	Family Name Witte	First Given Name Owen		Second Given Name N
	OTTHVEHIO				
0	Residence & Citizenship	City Sherman Oaks	State or Foreign Country CA		Country of Citizenship USA
0	Residence	City	,		1 .
3	Residence & Citizenship Post Office	City Sherman Oaks Post Office Address 14727 Sutton Drive	CA City	Date:	USA State & Zip Code/Country
3	Residence & Citizenship Post Office Address	City Sherman Oaks Post Office Address 14727 Sutton Drive	CA City	Date:	USA State & Zip Code/Country CA 91403/USA
3 Sign	Residence & Citizenship Post Office Address ature of Inventor 2	City Sherman Oaks Post Office Address 14727 Sutton Drive 03: Family Name	CA City Sherman Oaks First Given Name	Date:	USA State & Zip Code/Country CA 91403/USA Second Given Name
3 Sign	Residence & Citizenship Post Office Address ature of Inventor 2 Full Name Of Inventor Residence	City Sherman Oaks Post Office Address 14727 Sutton Drive 03: Family Name Reiter City	CA City Sherman Oaks First Given Name Robert State or Foreign Country	Date:	USA State & Zip Code/Country CA 91403/USA Second Given Name E Country of Citizenship

§ 1.56 Duty to disclose information material to patentability.

- A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
- prior art cited in search reports of a foreign patent office in a counterpart application, and (1) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- Under this section, information is material to patentability when it is not cumulative to information already of record or (b) being made of record in the application, and

It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim. (1)

It refutes, or is inconsistent with, a position the applicant takes in: (2) Opposing an argument of unpatentability relied on by the Office, or (i) (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

-Individuals associated with the filing or prosecution of a patent application within the meaning of this section are: (c)

Each inventor named in the application: (1)

1 1 1

Each attorney or agent who prepares or prosecutes the application; and (2)

Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the (d) attorney, agent, or inventor.

MANDEL & ADRIANO

4 H H 12 (141) - 1 H 12 + 12 (141)

United States Patent Application

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that; my residence, post office address and citizenship are as stated below next to my

name; that I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: ANIMAL MODELS OF HUMAN PROSTRATE CANCER PROGRESSION The specification of which a. is attached hereto b. X was filed on October 15, 1997 as application serial no. 08/951,143 and was amended on (if applicable) (in the case of a PCT-(if any), which I have reviewed filed application) described and claimed in international no. filed and as amended on and for which I solicit a United States patent. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (attached hereto). I hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed: a. no such applications have been filed. b. Such applications have been filed as follows: FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119 ×å DATE OF ISSUE DATE OF FILING APPLICATION NUMBER (day, mouth, year) COUNTRY (day, month, year) ALL FOREIGN APPLICATION(S), IF ANY, FILED BEFORE THE PRIORITY APPLICATION(S) 骴 DATE OF ISSUE 1 DATE OF FILING APPLICATION NUMBER (day, month, year) (day, mouth, year) COUNTRY hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed selow and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the nanner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as lefined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national r PCT international filing date of this application. STATUS (patented, pending, abandoned) DATE OF FILING (day, month, year) U.S. APPLICATION NUMBER

hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

October 15, 1996

U.S. PROVISIONAL APPLICATION NUMBER

08/732,676

I hereby appoint the following attorney(s) or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Sarah B. Adriano

Reg. No. 34,470

SaraLynn Mandel

Reg. No. 31,853

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Mandel & Adriano to the contrary.

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Mandel & Adriano 35 No. Arroyo Parkway, Suite 60 Pasadena, California 91103

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	Full Name Of Inventor	Family Name Sawyers	First Given Name Charles State or Foreign Country	Second Given Name L Country of Citizenship
	Residence	City Los Angeles	CA CA	USA State & Zip Code/Country
	& Citizenship Post Office	Post Office Address	City Los Angeles	CA 90049/USA
	Address stare of Inventor 2	177 S. Westgate Avenue	D	ate:
€¤.	TELL OF TRACETOR		First Given Name	Second Gives Name
	Pall Name Of Inventor	Family Name Klein	Karen State or Foreign Country	Country of Citizenship
)	Residence	City Los Angeles	CA City	USA State & Zip Code/Country CA 90049/U\$A
2	Post Office	Post Office Address 174 Densiow Avenue	Los Angelos	Date:
Si	Address nature of Inventor		First Given Name	Second Gives Name
2	Full Name	Family Name Witte	Owen State or Foreign Country	Country of Citizenship
0	Of Inventor Residence	City	CA	State & Zip Code/Country . CA 91403/USA
3		Post Office Address	Sherman Oaks	Date: May 10, 2000
	Address Signature of Inven		First Given Name	Second Gives Name
•	2 Full Name	Family Name	Robert State or Foreign Country	Country of Citizenship
	Of Invento	City	CA	Brate & Zip Code/Country CA 90024-6017/USA
	& Cittzea	bip Los Angeles Past Office Address	City Los Angeles	Date:
	4 Post Office	10511 Kinnard Avenue		

§ 1.56 Duty to disclose information material to patentability.

or

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N

- A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective (a) patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - prior art cited in search reports of a foreign patent office in a counterpart application, and (1)
- the closest information over which individuals associated with the filing or prosecution of a patent application (2) believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- Under this section, information is material to patentability when it is not cumulative to information already of record or (b) being made of record in the application, and It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; (1)
 - It refutes, or is inconsistent with, a position the applicant takes in: (2)
 - Opposing an argument of unpatentability relied on by the Office, or (i)
 - Asserting an argument of patentability. (ii)

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the prepanderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- N Individuals associated with the filing or prosecution of a patent application within the meaning of this section are: (c)
 - Each inventor named in the application: (1)

1 1 1

- Each attorney or agent who prepares or prosecutes the application; and (2)
- Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

MANDEL & ADRIANO

United States Patent Application

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: ANIMAL MODELS OF HUMAN PROSTRATE CANCER PROGRESSION

The specification of which	ch .		1
a. is attached hereto			i
	per 15, 1997 as application serial no. 08/	951,143 and was amended on	
filed application) describ	ed and claimed in international no.	filed and as amended	on (if any), which I have reviewed
and for which I solicit a l	United States patent.		1
		NA	Alice is about a she distant on amounted by
		the above-identified specifica	tion, including the dlaims, as amended by
any amendment referred	to above.		·
I asknowledge the duty t	o disclose information which is material	to the patentability of this appl	lication in accordance with Title 37, Code of
Federal Regulations, § 1.		to allo purolimonially as also app.	
. domain respondentelle, y	(2.22.000 0.000),		
I hereby claim foreign pr	iority benefits under Title 35, United Sta	ates Code, § 119/365 of any for	reign application(s) for patent or inventor's
certificate listed below a	nd have also identified below any foreign	n application for patent or inve	entor's certificate having a filing date before
	the basis of which priority is claimed:	•	
teri Fig. 1			
a. no such applicatio	ns have been filed.		
	have been filed as follows:		
in	FOREIGN APPLICATION(S), IF ANY,	CLAIMING PRIORITY UNDER	35 USC § 119
COUNTRY	APPLICATION NUMBER	DATE OF FILING	DATE OF ISSUE
1		(day, month, year)	(day, month, year)
The state of the s			
NAME AND ADDRESS OF TAXABLE PARTY.	ALL FOREIGN APPLICATION(S), IF ANY,	FILED BEFORE THE PRIORITY	APPLICATION(S)
COLINTRY	APPLICATION NUMBER	DATE OF FILING	DATE OF ISSUE
in the second se		(day, month, year)	(day, month, year)

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)
h _i , it t		

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)
08/732,676	October 15, 1996

Thereby appoint the following attorney(s) and or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Sarah B. Adriano

Reg. No. 34,470

U4UUUUUUUU

SaraLynn Mandel

Reg. No. 31,853

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Mandel & Adriano to the contrary.

Please direct all correspondence in this case to Mandel & Adriano at the address indicated below:

Mandel & Adriano 35 No. Arroyo Parkway, Suite 60 Pasadena, California 91103

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Signi	store of Inventor 2	Juleut & Mot		Date:	3(7/00

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